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FORM 3X

REPORT OF RECEIPTS AND DISBURSEMENTS

_		For Otl	her Than An	Authoriz	ed Comm	ittee		Office Use O	nly
1.	NAME OF COMMITTEE (in full)		C MAILING LA E OR PRINT		xample:If typ ver the lines	ing, type			
L	Securities Industry and Finan	cial Mark	ets Association	Political Action	on Committee	;	1	1 1 1 1	
Ш									
AD	DRESS (number and street)		New York Aven	ue, NW					
г	Check if different	8th F	loor						
L	than previously reported. (ACC)	Wash	nington				DC	2000	5 – 📗
2.	FEC IDENTIFICATION NUM	BER	~	CITY 🛕			STATE	ZIP	CODE A
	C00431312			3. IS THIS REPOR		NEW (N) OR		AMENDED A)	
4.	TYPE OF REPORT (Choose One)	` '	Monthly Report	Feb 20 (M	2)	May 20 (M5)	Au	ıg 20 (M8)	Nov 20 (M11) (Non-Election Year Only)
(a) Quarterly Reports:	Due On:		Mar 20 (M	3)	Jun 20 (M6)	Se	ep 20 (M9)	Dec 20 (M12) (Non-Election Year Only)
	April 15			Apr 20 (M	4)	Jul 20 (M7)	00	et 20 (M10)	χ Jan 31 (YE)
	Quarterly Report(Q		c) 12-Day		Primary (1	2P)	Genera	I (12G)	Runoff (12R)
	July 15 Quarterly Report(Q	2)	PRE -Electi Report for t		Conventio	n (12C)	Special	(12G)	_
	October 15 Quarterly Report(Q	3)	rioportroi		Convolue	(123)	Ороска	(123)	
	January 31 Quarterly Report(YI	≣)		Election on					the ate of
	July 31 Mid-Year Report(Non-election Year Only) (MY)	n (d) 30-Day Post -Electors Report for the second control of the se		General (3	30G)	Runoff	(30R)	Special (30S)
	Termination Report (TER)		·	Election on					the ate of
5.	Covering Period 12	! (200	9	throug	h 12	3 1	2009	
l ce	ertify that I have examined this F				e and belief i	t is true, correct	and complete	9.	
Тур	oe or Print Name of Treasurer	Mr.	Kenneth E. Ben	tsen, Jr.					
Sig	nature of Treasurer Electron	nically File	ed by Mr. Ker	nneth E. Bent	sen, Jr.		Date 0	1 28	2010
NO	TE : Submission of false, error	neous, or	incomplete info	mation may	subject the pe	erson signing th	is Report to the	ne penalties of 2	2 U.S.C 437g.
	Office Use							FEC FO	ORM 3X 2/2004)

FE6AN026

SUMMARY PAGE

OF RECEIPTS AND DISBURSEMENTS

2/33

FEC	Form 3X	(Rev. 02/2003)

Write or Type Committee Name Securities Industry and Financial Markets Association Political Action Committee

D D 12 0 1 2009 12 3 1 2009 From: Report Covering the Period: To: **COLUMN A COLUMN B This Period** Calendar Year-to-Date (a) Cash on Hand 2009° 40514.70 January 1 (b) Cash on Hand at 131407.94 Begining of Reporting Period 44354.64 353313.15 (c) Total Receipts (from Line 19) (d) Subtotal (add lines 6(b) and 6(c) for Column A and Lines 175762.58 393827.85 6(a) and 6(c) for Column B) 63784.64 281849.91 Total Disbursements (from Line 31) Cash on Hand at Close of Reporting Period 111977.94 111977.94 (subtract Line 7 from Line 6(d)) Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D) 10. Debts and Obligations owed the committee (Itemize all on 0.00 Schedule C and/or Schedule D)

X This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission 999 E street, NW Washington, DC 20463

Toll Free 800-424-9530 Local 202-694-1100

DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004) 3 / 33

Write or Type Committee Name

Securities Industry and Financial Markets Association Political Action Committee

Report Covering the Period:

From:

м м 12 ^D 0 1

2009

та.

м м 1 2 ^D 31

^Y 2009

	I. Receipts	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
	Contributions (other than loans) From: a) Individuals/Persons Other		
	Than Political Committees (i) Itemized (use Schedule A)	9350.00	223475.05
	(ii) Unitemized	13720.00	67071.95
	(iii) TOTAL (add Lines 11(a)(i) and (ii)	23070.00	290547.00
(1	b) Political Party Committees	0.00	0.00
,	c) Other Political Committees (such as PACs)	20000.00	54000.00
	11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5)	43070.00	344547.00
	Fransfers From Affiliated/Other Party Committees	0.00	0.00
3. <i>A</i>	All Loans Received	0.00	0.00
	oan Repayments Received Offsets To Operating Expenditures	0.00	0.00
(Refunds, Rebates, etc.) Carry Totals to Line 37, page 5)	284.64	4258.38
to	o Federal candidates and Other Political Committees	1000.00	4500.00
	Other Federal Receipts Dividends, Interest, etc.)	0.00	7.77
	Fransfers from Non-Federal and Levin Funds		
(;	a) Non-Federal Account (from Schedule H3)	0.00	0.00
(1	b) Levin Funds (from Schedule H5)	0.00	0.00
(0	c) Total Transfer (add 18(a) and 18(b)).	0.00	0.00
	Otal Receipts (add Lines 11(d), 2, 13, 14, 15, 16, 17, and 18(c))	44354.64	353313.15
	otal Federal Receipts subtract Line 18(c) from Line 19)	44354.64	353313.15

DETAILED SUMMARY PAGE

FEC Form 3X (Rev. 02/2003)

of Disbursements

4/33

	II. DISBURSEMENTS	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21.	Operating Expenditures: (a) Shared Federal/Non-Federal		1
	Activity (from Schedule H4)	0.00	0.00
	(i) Federal Share		
	(ii) Non-Federal Share	0.00	0.00
	(b) Other Federal Operating	284.64	4799.91
	Expenditures(c) Total Operating Expenditures	204.04	4799.91
	(add 21(a)(i), (a)(ii) and (b))	284.64	4799.91
22.	Transfers to Affiliated/Other Party	0.00	0.00
23.	Contributions to	0.00	0.00
	Federal Candidates/Committeesand Other Political Committees	63500.00	264500.00
24.	Independent Expenditure	0.00	0.00
5.	(use Schedule E)	0.00	0.00
	Committees (2 U.S.C. 441a(d)) (use Schedule F)	0.00	0.00
26.	Loan Repayments Made	0.00	0.00
	Lasas Mada	0.00	0.00
	Loans Made Refunds of Contributions To:	0.00	0.00
	(a) Individuals/Persons Other Than Political Committees	0.00	12550.00
	(b) Political Party Committees	0.00	0.00
	(c) Other Political Committees		
	(such as PACs)	0.00	0.00
	(d) Total Contribution Refunds	0.00	12550.00
	(add Lines 28(a), (b), and (c))	0.00	1200.00
29.	Other Disbursements	0.00	0.00
30.	Federal Election Activity (2 U.S.C 431(20))		
	(a) Shared Federal Election Activity		
	(from Schedule H6)	0.00	0.00
	(i) Federal Share		
	(ii) "Levin" Share	0.00	0.00
	(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
	(c) Total Federal Election Activity (add	0.00	0.00
	Lines 30(a)(i), 30(a)(ii) and 30(b))	0.00	0.00
31.	Total Disbursements (add Lines 21(c), 22,		
	23, 24, 25, 26, 27, 28(d), 29 and 30(c))	63784.64	281849.91
32.	Total Federal Disbursements		
	(subtract Line 21(a)(ii) and Line 30(a)(ii)		
	from Line 31)	63784.64	281849.91

DETAILED SUMMARY PAGE

of Disbursements

5 / 33 FEC Form 3X (Rev. 02/2003)

	butions/Operating ditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (from Line 11(d), pag	other than loans)	43070.00	344547.00
34. Total Contribution R (from Line 28(d))	efunds	0.00	12550.00
5. Net Contributions (or (subtract Line 34 fro	her than loans) m Line 33)	43070.00	331997.00
66. Total Federal Opera (add Line 21(a)(i) an	• '	284.64	4799.91
7. Offsets to Operating (from Line 15, page	Expenditures 3)	284.64	4258.38
38. Net Operating Exper		0.00	541.53

FE6AN026

	CHEDULE A (FEC Form 3X) EMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 6 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16 1
A	ny information copied from such Reports and for commercial purposes, other than using the	Statements ma	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	rkets Associa	tion Political Action Committ	tee
<u></u>	Full Name (Last, First, Middle Initial) Mr. Randolph J. Sinz			Date of Receipt
	Mailing Address 1710 Menomonie Str	eet, #4		12 04 2009
	City	State	Zip Code	Transaction ID: 17790861
	Eau Claire	WI	54703-6284	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan-	Occupation Branch M		
	y, Incorporat Receipt For:		e Year-to-Date V	
	Primary General Other (specify) ▼	199.53	250.00	Contribution
	Full Name (Last, First, Middle Initial) Mr. Larry Manica			Date of Receipt
	Mailing Address 12670 S. Pflumm Ro	ad, Suite 103	1	M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
	City	State	Zip Code	Transaction ID: 17802165
	Olathe	KS	66062-3894	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation SVP/Inve	n estments	7
	Receipt For:	_, .	e Year-to-Date ▼	
	Primary General Other (specify) ▼		250.00	Contribution
_	Full Name (Last, First, Middle Initial) Mr. David Cornet			Date of Receipt
	Mailing Address 2974 Midvale Road,	NW		M M / D D / Y Y Y Y Y 1 1 1 2 0 0 9
	City	State	Zip Code	Transaction ID: 17806474
	Canton	OH	44718-3236	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat		estments/Branch Manager	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		250.00	Contribution
Г				750.00

SCHEDULE A (FEC Form 3X) FEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 7/33 (check only one) X 11a 11b 11c 12 15 16
Any information copied from such Reports and or for commercial purposes, other than using t	I Statements may not be sold or used by any pers he name and address of any political committee t	
NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	urkets Association Political Action Commi	ttoo
Geodiffies industry and i manda Ma	tirets Association Folitical Action Commi	nee
Full Name (Last, First, Middle Initial) Mr. Jon R. Hepner		Date of Receipt
Mailing Address 13817 Eagles Nest E	Bay Drive	12 11 2009
City	State Zip Code	Transaction ID: 17806478
Corpus Christi	TX 78418-6302	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Financial Advisor	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Ms. Linda Del Alamo		Date of Receipt
Mailing Address P.O. Box 2644		12 14 2009
City	State Zip Code	Transaction ID: 17808038
Corpus Christi	TX 78403-2644	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation	
y, Incorporat Receipt For:	First Vice President/Investments	_
Primary General	Aggregate Year-to-Date ▼	Contribution
Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Thomas Lohmeyer		Date of Receipt
Mailing Address 4910 Corporate Cen Suite 104	tre Drive	12 14 2009
City	State Zip Code	Transaction ID: 17808041
Lawrence	KS 66047-1003	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior Vice President/Investments	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
NUDTOTAL of Descript This Description (Authors)		750.00

	SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 8 / 33 (check only one) X 11a
	Any information copied from such Reports and Sor for commercial purposes, other than using the	Statements ma e name and ad	y not be sold or used by any perso dress of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
	NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Associa	tion Political Action Commit	tee
∠ A .	Full Name (Last, First, Middle Initial) Kellyn R. Drayer			Date of Receipt
	Mailing Address 1606 H. G. Mosley Pk	wy		12 23 2009
	City	State	Zip Code	Transaction ID: 17828671
	Longview	TX	75604-2603	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	C		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch M		
	Receipt For:	Aggregate	e Year-to-Date 🔻	
	Primary General Other (specify) ▼		250.00	Contribution
– В.	Full Name (Last, First, Middle Initial) Mr. Peter D. VanDer Schaaf			Date of Receipt
	Mailing Address 1451 Broadview Drive	!		12 23 2009
	City	State	Zip Code	Transaction ID: 17828685
	<u>Jenison</u>	MI	49428-8542	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		250.00
	Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Senior V	n ice President/Investments	
	Receipt For:	Aggregate	e Year-to-Date ▼	
	Primary General Other (specify) ▼		250.00	Contribution
С.	Full Name (Last, First, Middle Initial) Mr. Tom Nides			Date of Receipt
	Mailing Address 4838 Rockwood Park	way, NW		12 28 2009
	City	State	Zip Code	Transaction ID: 17844013
	Washington	DC	20016-3249	Amount of Each Receipt this Period
	FEC ID number of contributing federal political committee.	С		2500.00
	Name of Employer Morgan Stanley	Occupation Chief Ad	n ministrative Officer	
	Receipt For: Primary General Other (specify) ▼	Aggregate	e Year-to-Date ▼ 2500.00	Contribution
Γ	SUBTOTAL of Receipts This Page (optional) .	ı		3000.00
r	TOTAL This Period (last page this line number		<u> </u>	

SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 9 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any persele name and address of any political committee in	
NAME OF COMMITTEE (In Full)		
Securities Industry and Financial Mar	rkets Association Political Action Commi	ttee
Full Name (Last, First, Middle Initial) Ms. Cathleen Gillies		Date of Receipt
Mailing Address 612 Texas Street		12 25 2009
City	State Zip Code	Transaction ID: 17847062
San Francisco	CA 94107-2941	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. John G. Larkin		Date of Receipt
Mailing Address 403 Somerset Road		12 25 2009
City	State Zip Code	Transaction ID: 17847071
Baltimore	MD 21210-2823	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	1000.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation	
y, Incorporat	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	1000.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Michael F. Barry		Date of Receipt
Mailing Address 1046 Pequot Ave		12 25 2009
City	State Zip Code	Transaction ID: 17847087
Southport	CT 06890-1422	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
		1500.00

SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS	Use separate schedu for each category of t Detailed Summary Pa	he (crieck only only)
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by a e name and address of any political com	any person for the purpose of soliciting contributions mittee to solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Association Political Action C	Committee
· · · · · · · · · · · · · · · · · · ·		
Full Name (Last, First, Middle Initial) Mr. Michael Kollender		Date of Receipt
Mailing Address 98 O'Shaughnessy La		12 26 26 2009
City	State Zip Code	Transaction ID: 17847089
Closter	NJ 07624-3214	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation Managing Director	
y, Incorporat Receipt For:	Aggregate Year-to-Date ▼	
Primary General		Contribution
Other (specify) ▼	250	
Full Name (Last, First, Middle Initial) Julien R. Smythe	l	Date of Receipt
Mailing Address 3821 Piping Rock		12 27 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State Zip Code	Transaction ID: 17847092
Houston	TX 77027-4033	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	500.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation	
y, Incorporat	Managing Director	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	500	.00 Contribution
Full Name (Last, First, Middle Initial)		Date of Possint
Mr. Rodney B. Krause Mailing Address 52 Chaminade Drive		Date of Receipt
		12 29 2009
City	State Zip Code	Transaction ID: 17852494
Creve Coeur	MO 63141-8446	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation	
y, Incorporat Receipt For:	Senior Vice President	
Primary General	Aggregate Year-to-Date ▼	Contribution
Other (specify)	250	.00 Contribution
SUBTOTAL of Receipts This Page (optional)	L	1000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 11 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16 1
or for commercial purposes, other than using the NAME OF COMMITTEE (In Full)	Statements may not be sold or used by any page name and address of any political committee. rkets Association Political Action Committee.	
Full Name (Last, First, Middle Initial)		
Mr. Kraig A. Lange		Date of Receipt
Mailing Address 6720 Wynfield Terrac	ce Drive	12 29 2009
City	State Zip Code	Transaction ID: 17852501
Saint Louis	MO 63129-3972	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan-	Occupation First Vice President	
y, Incorporat Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. George F.J. Hill		Date of Receipt
Mailing Address 1821 Tamarack Street	et	12 29 2009
City	State Zip Code	Transaction ID: 17852502
Westlake Village	CA 91361-1852	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	250.00
Name of Employer Stifel, Nicolaus & Compan- y. Incorporat	Occupation Senior Vice President	
Receipt For:	Aggregate Year-to-Date ▼	
Primary General Other (specify) ▼	250.00	Contribution
Full Name (Last, First, Middle Initial) Mr. Mitchel C. Peterson		Date of Receipt
Mailing Address 2911 Valley View Ro	ad	12 30 7 2009
City	State Zip Code	Transaction ID: 17852693
Ames	IA 50014-4512	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С	250.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat	Occupation Branch Manager	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 250.00	Contribution
	1	

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate for each cate Detailed Sum	gory of the	2 / 33 12 16 1
Any information copied from such Reports and or for commercial purposes, other than using the NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	e name and address of any polit	sed by any person for the purpose of soliciting contribucal committee to solicit contributions from such committee	tions ttee.
Full Name (Last, First, Middle Initial) Mr. John R. Honovich		Date of Receipt	
Mailing Address 76 Bunning Drive		12 30 2	0 0 9
City Voorhees	State Zip Code NJ 08043-416	Transaction ID: 17852694 Amount of Each Receipt this Pe	eriod
FEC ID number of contributing federal political committee.	C		0.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary Other (specify) ▼	Occupation Managing Director Aggregate Year-to-Date	250.00 Contribution	
Full Name (Last, First, Middle Initial) Mr. Thomas J. Murphy Mailing Address 3711 N. Vernon Stree	t		YY
		12 31 2	0 0 9
City Arlington	State Zip Code VA 22207-454	Transaction ID: 17852783 Amount of Each Receipt this Pe	riod
FEC ID number of contributing federal political committee.	C		0.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify)	Occupation Director Aggregate Year-to-Date	250.00 Contribution	
Full Name (Last, First, Middle Initial)	0 0 0 0 0		
Mr. David Muscarella Mailing Address 122 Maumell Street			0 0 9
City	State Zip Code	Transaction ID: 17852804	
Hinsdale	IL 60521-352	Amount of Each Receipt this Pe	riod
FEC ID number of contributing federal political committee.	C	100	0.00
Name of Employer Stifel, Nicolaus & Compan- y, Incorporat Receipt For: Primary General Other (specify) ▼	Occupation Managing Director Aggregate Year-to-Date	1000.00 Contribution	
SUBTOTAL of Receipts This Page (optional)	•	1500	0.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	for each	parate schedule(s) n category of the d Summary Page	FOR LINE NUMBER: PAGE 13 / 33 (check only one) X 11a 11b 11c 12 13 14 15 16 17
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be solve name and address of any	d or used by any person y political committee to	n for the purpose of soliciting contributions solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	rkets Association Politic	cal Action Committe	ее
Full Name (Last, First, Middle Initial) Mrs. Melissa A. MacGregor Mailing Address 4648 N. 23rd Rd			Date of Receipt 1 2 3 1 2 0 0 9
City	State Zip Co	ode	Transaction ID: 17852805
Arlington	VA 22207	7-3509	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	С		100.00
Name of Employer Securities Industry and Financial Mark	, ' 	ssoc. General Cour	nsel
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Da	250.00	Contribution

SUBTOTAL of Receipts This Page (optional)	•	100.00
TOTAL This Period (last page this line number only)	•	9350.00

SCHEDULE A (FEC Form 3X) TEMIZED RECEIPTS		Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 14 / 33 (check only one) 11a 11b X 11c 12 13 14 15 16
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may e name and add	not be sold or used by any perso dress of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Financial Mar	kets Associat	ion Political Action Commit	tee
•			
Full Name (Last, First, Middle Initial) Credit Suisse Securities (USA) Political Action (Committee		Date of Receipt
Mailing Address 1201 F Street, NW St	uite 450		12 09 7 2009
City	State	Zip Code	Transaction ID: 17802131
Washington	DC	20004	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00	111559	5000.00
Name of Employer	Occupation	1	7
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼	0 0	5000.00	Contribution
Full Name (Last, First, Middle Initial) The Goldman Sachs Group, Inc. PAC			Date of Receipt
Mailing Address 101 Constitution Ave, Suite 1000 East	NW		M M / D D / Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
City	State	Zip Code	Transaction ID: 17815388
Washington	DC	20001	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00	350744	5000.00
Name of Employer	Occupation	1	
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼		5000.00	Contribution
Full Name (Last, First, Middle Initial) The Charles Schwab Corporation PAC			Date of Receipt
Mailing Address 101 Montgomery Stre	eet		12 22 2009
City	State	Zip Code	Transaction ID: 17826895
San Francisco	CA	94101	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00	370114	5000.00
Name of Employer	Occupation	١	7
Receipt For:	Aggregate	Year-to-Date ▼	
Primary General Other (specify) ▼		5000.00	Contribution
SUBTOTAL of Receipts This Page (optional) .			15000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 15 / 33 (check only one) 11a 11b X 11c 12 13 14 15 16 17
Any information copied from such Reports and or for commercial purposes, other than using the	Statements may not be sold or used by any pers ne name and address of any political committee to	on for the purpose of soliciting contributions o solicit contributions from such committee.
NAME OF COMMITTEE (In Full) Securities Industry and Financial Ma	rkets Association Political Action Commit	tee
Full Name (Last, First, Middle Initial) Citigroup Political Action Committee Mailing Address 1101 Pennsylvania A	avenue NW	Date of Receipt
Suite 1000	·	12 28 2009
City <u>Washington</u>	State Zip Code DC 20004	Transaction ID: 17843726 Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00008474	5000.00
Name of Employer	Occupation	
Receipt For: Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 5000.00	Contribution

SUBTOTAL of Receipts This Page (optional)	•	5000.00
TOTAL This Period (last page this line number only)	•	20000.00

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 16 / 33 (check only one) 11a 11b 11c 12 13 14 X 15 16 17
Any information copied from such Reports and S or for commercial purposes, other than using the		
NAME OF COMMITTEE (In Full)		
Securities Industry and Financial Mark	kets Association Political Action Committe	ee
Full Name (Last, First, Middle Initial) Securities Industry and Financial Markets Associ	ation	Date of Receipt
Mailing Address 120 Broadway Floor 35		12 22 2009
City	State Zip Code	Transaction ID: 17826945
New York	NY 10271	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C	284.64
Name of Employer	Occupation	
Receipt For: Primary General Other (specify)	Aggregate Year-to-Date ▼ 4258.38	Bank Fee Reimbursement

SUBTOTAL of Receipts This Page (optional)	•	284.64
TOTAL This Period (last page this line number only)	•	284.64

SCHEDULE A (FEC Form 3X) ITEMIZED RECEIPTS	Use separate schedule(s) for each category of the Detailed Summary Page	FOR LINE NUMBER: PAGE 17 / 33 (check only one) 11a 11b 11c 12 13 14 15 X 16 17
	Statements may not be sold or used by any perso e name and address of any political committee to	
NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	kets Association Political Action Committe	ee
Full Name (Last, First, Middle Initial) Grassley Committee Inc		Date of Receipt
Mailing Address PO Box 1000		12 28 7 2009
City	State Zip Code	Transaction ID: 17843831
Des Moines	IA 50304	Amount of Each Receipt this Period
FEC ID number of contributing federal political committee.	C C00230482	1000.00
Name of Employer	Occupation	
Receipt For: 2010 X Primary General Other (specify) ▼	Aggregate Year-to-Date ▼ 1000.00	Refund

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	Full Name (Last, First, Middle Initial) Nelson for U.S. Senate Committee Mailing Address Post Office Box 54	2454				Trans Date	of Dis	burse				ŏ́9	Y
	Mailing Address Post Office Box 54 Suite B	0154						Ľ	_			0,0	_
	City Omaha	State Zip Code NE 68154				Amou	nt of	Each	Disb	ursen	nent th	nis Pe	rio
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	Contribution Candidate Name Ben Nelson			01 ateg Typ	gory/								
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	Full Name (Last, First, Middle Initial)					Trans	actic	n ID:	17	7513	80		_
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	Mailing Address 14 Knightswood Di	ive				^M 2	M /	D 0	2	/ Y	ž 0	ŏ9	1
	City Marlton	State Zip Code NJ 08053				Amou	nt of	Each	Disb	ursen	nent th	nis Pe	erio
	Purpose of Disbursement Contribution			01	1		-				1000	0.00	_
	Candidate Name Rep. John Herbert Adler			ateg Typ	gory/ be								
	Office Sought: X House Senate President State: NJ District: 03	isbursement For: 2010 X Primary General Other (specify)				Contr	ibuti	on					
	Full Name (Last, First, Middle Initial) Scott Murphy For Congress					Trans	of Dis	burse	emen				
	Mailing Address 615 Glen Street					1 ^M 2	M /	^D 0	2	/ Y	ž o	ό9	_
	City Glens Falls	State Zip Code NY 12801				Amou	nt of	Each	Disb	ursen	nent th	nis Pe	rio
	Purpose of Disbursement Contribution			01		L.	-				1000	0.00	_
	Candidate Name Rep. Scott M. Murphy			ateg Typ	gory/ e								
	Office Sought: X House Senate President State: NY District: 20	isbursement For: 2010 X Primary General Other (specify)	1	71-		Contr	ibuti	on					
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17		Use separate schedule(s	5)		R LINE eck only		n.		L	1 AGE	19/3	<u> </u>
	EMIZED DISBURSEMENTS	for each category of the Detailed Summary Page		Ì	21b 27	22 28a	<u> </u>	23 28b	24		25 29	2 3
	y Information copied from such Reports and Stater for commercial purposes, other than using the nam NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets	e and address of any politica	al comi	mitte	ee to sol							
V	Securities industry and i mancial markets	ASSOCIATION I ONLICAL ACI	lion C	,0111	millee							
•	Full Name (Last, First, Middle Initial) The Bluegrass Committee Mailing Address P.O. Box 3932					Trans Date of	of Dis	burse) Ž o ŏ 9	Y
	City Louisville	State Zip Code KY 40201				Amou	nt of I	Each I	Disbur	semer	nt this P	eriod
	Purpose of Disbursement Contribution Candidate Name		_	011 tego						25	500.00	
	The Bluegrass Committee Office Sought: House Senate President State: District:	ement For: Primary General Other (specify) ▼		Гуре		Contri	butio	on				
	Full Name (Last, First, Middle Initial) Demint For Senate Committee Inc Mailing Address PO Box 12425					Trans Date of			ment		9 Ž o ŏ 9	Y
	City Columbia Purpose of Disbursement	State Zip Code SC 29211		•		Amou	nt of I	Each I	Disbur		nt this P	eriod
	Contribution Candidate Name Mr. James Demint		Ca	011 tego Type	ory/							
	· -	ement For: 2010 Primary General Other (specify)	1			Contri	butio	on				
	Full Name (Last, First, Middle Initial) Scott Garrett for Congress					Trans Date o	of Dis	burse	ment			
	Mailing Address P.O. Box 905					1 ^M 2	M /	^D 0	3	¥ 2	2 0 0 9	Y
		Ot-1- 7'- O1-				Amou	nt of I	Each I	Disbur	semer	nt this P	eriod
	City Newton	State Zip Code NJ 07860					-					
				011						10	00.00	
	Newton Purpose of Disbursement		Ca	011 tego Гуре	ory/		•			10	00.00	•
	Newton Purpose of Disbursement Contribution Candidate Name Rep. Scott Garrett Office Sought: X House Disburs		Ca	tego	ory/	Contri	butic	on		10	000.00	•

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	D DISBURSEMENTS	Detailed :	category of the Summary Page	21b 27	22 X 23 24 25 28a 28b 28c 29
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	e (Last, First, Middle Initial) or Congress ddress 21301 Powerline F	Boad Suite 204			Transaction ID: 17754368 Date of Disbursement
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Bob Eth	e (Last, First, Middle Initial) eridge For Congress Comm	iittee			Transaction ID: 17808710 Date of Disbursement
Mailing A	ddress Post Office Box 28 PO Box 28001	3001			12 7 2 1 7 2 0 0 9
City Raleigh		State NC	Zip Code 27611		Amount of Each Disbursement this Perio
Contribut Candidate				011 Category/ Type	1000.00
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Rep. Bo Office So State: No	ught: X House [Senate President	Disbursement For: X Primary Other (spe	2010 General ecify) ▼		Contribution
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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)	_	NE NUMBER:	PAGE 21 / 33
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Securities Industry and Financial Markets A	Association Political Acti	on Commit	ee	
Full Name (Last, First, Middle Initial) Citizens For Altmire			Transaction ID: 1 Date of Disburseme	
Mailing Address P.O. Box 1776			12 2 1	Y 2009
	State Zip Code PA 15042		Amount of Each Dis	sbursement this Period
Purpose of Disbursement Contribution		011		1000.00
Candidate Name Rep. Jason Altmire		Category/ Type		
Office Sought: X House Senate President State: PA District: 04	ment For: 2010 Primary General Other (specify)		Contribution	
Full Name (Last, First, Middle Initial) Stephanie Herseth Sandlin For South Dako	ta		Transaction ID: 1	ent
Mailing Address PO Box 2009			12 21	2009
	State Zip Code SD 57101		Amount of Each Dis	sbursement this Period
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· -	ment For: 2010 Primary General Other (specify)		Contribution	
Full Name (Last, First, Middle Initial) Ellison For Congress			Transaction ID: 1 Date of Disburseme	
Mailing Address PO Box 6072			12 / 21	2009
	State Zip Code MN 55406		Amount of Each Dis	sbursement this Period
Purpose of Disbursement Contribution		011		1000.00
Candidate Name Rep. Keith Ellison		Category/ Type		
Office Sought: X House Senate President State: MN District: 05	ment For: 2010 Primary General Other (specify)		Contribution	
SUBTOTAL of Disbursements This Page (optional) .				3000.00
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Any Information copied from such Reports a or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Securities Industry and Financial II Full Name (Last, First, Middle Initial) Bill Foster For Congress Committed Mailing Address PO Box 703 City Geneva Purpose of Disbursement Contribution Candidate Name Rep. Bill Foster Office Sought: X House Senate President State: IL District: 14 Full Name (Last, First, Middle Initial) Mike Mcmahon For Congress Mailing Address 66 Arnold Street City Staten Island Purpose of Disbursement Contribution Candidate Name Rep. Michael E. McMahon Office Sought: X House Senate President State: NY District: 13 Full Name (Last, First, Middle Initial) Friends Of Dan Maffei	Detailed Sund Statements may not githe name and address Markets Association Bee State IL Disbursement For: X Primary Other (speci	Political Acti Zip Code 60134 2010 General	I committee to s	22 X 23 24 25 2 28a 28b 28c 29 3 n for the purpose of soliciting contributions solicit contributions from such committee
or for commercial purposes, other than using NAME OF COMMITTEE (In Full) Securities Industry and Financial I Full Name (Last, First, Middle Initial) Bill Foster For Congress Committed Mailing Address PO Box 703 City Geneva Purpose of Disbursement Contribution Candidate Name Rep. Bill Foster Office Sought: X House Senate President State: IL District: 14 Full Name (Last, First, Middle Initial) Mike Mcmahon For Congress Mailing Address 66 Arnold Street City Staten Island Purpose of Disbursement Contribution Candidate Name Rep. Michael E. McMahon Office Sought: X House Senate President State: NY District: 13 Full Name (Last, First, Middle Initial)	g the name and address Markets Association ee State IL Disbursement For: X Primary Other (speci	Zip Code 60134 Zip Code General ify)	O11 Category/	Transaction ID: 17808721 Date of Disbursement May Amount of Each Disbursement this Period Contribution Transaction ID: 17808724 Date of Disbursement May Amount of Each Disbursement this Period 1000.00
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Senate President President District: 14 Full Name (Last, First, Middle Initial) Mike Mcmahon For Congress Mailing Address 66 Arnold Street City Staten Island Purpose of Disbursement Contribution Candidate Name Rep. Michael E. McMahon Office Sought: X House Senate President State: NY District: 13 Full Name (Last, First, Middle Initial)	X Primary Other (speci	General ify) ▼		Transaction ID: 17808724 Date of Disbursement 12 1 Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y Y
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Full Name (Last, First, Middle Initial)	Disbursement For: X Primary Other (speci	2010 General ify) ▼		Contribution
Therius Of Dail Mailei				Transaction ID: 17808726 Date of Disbursement
Mailing Address PO Box 74				$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
City Syracuse		Zip Code 13214		Amount of Each Disbursement this Period
Purpose of Disbursement Contribution	· · ·		011	1000.00
Candidate Name Rep. Daniel B. Maffei			Category/ Type	
Office Sought: Senate President State: NY District: 25	Disbursement For: X Primary Other (speci	2010 General	7,5-5	Contribution
SUBTOTAL of Disbursements This Page				3000.00

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NAME OF COMMITTEE (In Full)								
Securities Industry and Financial Markets	Association Pol	itical Action (Committee					
Full Name (Last, First, Middle Initial)				Transaction ID: 17808728				
Judy Biggert For Congress				Date of Disbursement				
Mailing Address P.O. Box 637				12 2 1 / 2009				
City Hinsdale	State Zip (Code 522		Amount of Each Disbursement this Period				
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Rep. Judy Biggert		I .	ategory/ Type					
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Cathy Mcmorris Rodgers For Congress				Date of Disbursement				
Mailing Address Box 137				$\begin{bmatrix} \begin{smallmatrix} M & M \\ 1 \end{smallmatrix} \begin{smallmatrix} Z & M \\ \end{smallmatrix} \begin{smallmatrix} I & D & D \\ I & D \\ \end{smallmatrix} \begin{smallmatrix} I & D \\ I & D \\ \end{smallmatrix} \begin{smallmatrix} I & I & Y \\ I & I & I \\ \end{smallmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ I & I & I \\ \end{smallmatrix} \end{smallmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ I & I & I \\ \end{smallmatrix} \end{smallmatrix} $				
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Purpose of Disbursement Contribution			011	1000.00				
Candidate Name Rep. Cathy McMorris Rodgers			ategory/ Type					
		2010	71	Contribution				
Senate X President	Primary Other (specify)	General		Contribution				
State: WA District: 05	Other (specify)	▼						
Full Name (Last, First, Middle Initial)				Transaction ID: 17808738				
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Mailing Address PO Box 425				$\begin{bmatrix} \begin{smallmatrix} M & M \\ 1 & 2 \end{smallmatrix} \end{bmatrix} \ \ \begin{bmatrix} \begin{smallmatrix} D & D \\ 2 & 1 \end{smallmatrix} \end{bmatrix} \ \begin{bmatrix} \begin{smallmatrix} Y & Y & Y & Y \\ 2 & 0 & 0 & 9 \end{smallmatrix} \end{bmatrix} $				
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Rep. Thomas Price, M.D.				Contribution				
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<u>/</u>	Full Name (Last, First, Middle Initial) Friends Of Blanche Lincoln				Transaction ID: Date of Disburse	ement
	Mailing Address PO Box 3197				1 2 2	1 2009
	City Little Rock	State Zip Code AR 72203			Amount of Each	Disbursement this Period
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NAME OF COMMITTEE (In Full) Securities Industry and Financial Market		
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Candidate Name Rep. James E. Clyburn	l l	tegory/ Type
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Mailing Address P.O. Box 8277					12 M / D D / Y Y Y O O 9					
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	Mailing Address P.O. Box	860096					1 1 2	2 1 1	18 /	ŽOŎS)
	City Plano		State TX	Zip Code 75086			Amo	ount of Eac	h Disburse		-
	Purpose of Disbursement									1000.00)
	Contribution Candidate Name					011 tegory/					
	Rep. Samuel Robert Johnson	on				ype					
	Office Sought: X House	Disburse					Con	tribution			
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	State: TX District: 03		Othor (ope	√ V							
	Full Name (Last, First, Middle Ini	tial)					Trar	nsaction II	D : 17828	3766	
	Gillibrand For Senate							e of Disbur			V
	Mailing Address 313 C Stre	eet Ne						2 ^M / D	24	žoŏs) Y
	City Washington		State DC	Zip Code 20002			Amo	ount of Eac	h Disburse	ement this I	Perio
	Purpose of Disbursement Contribution)11] L			1500.00)
	Candidate Name Sen. Kirsten Gillibrand					egory/ ype					
	Office Sought: House		ment For:	2010			Con	tribution			
	χ Senate President		Primary Other (spe	General							
	State: NY District:		Other (Spe	y) ∀							
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	Bennet For Colorado							e of Disbur			V
	Mailing Address 2300 15th	Street Suite 42	 25				1 1 2	2 M / D	24	žoŏs) [*]
	Mailing Address 2300 [5[[]	Street Suite 42) - ui -
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	City Denver Purpose of Disbursement	•	State		Cat)11 regory/	Amo	ount of Eac	h Disburse		-
	City Denver Purpose of Disbursement Contribution Candidate Name Sen. Michael Bennet Office Sought: House X Senate	Disburse X	State CO ment For: Primary	2010 General	Cat	egory/		ount of Eac	h Disburse		-
	City Denver Purpose of Disbursement Contribution Candidate Name Sen. Michael Bennet Office Sought: House	Disburse X	State CO	2010 General	Cat	egory/			h Disburse		-

CHEDULE B (FEC FOIII 3X)	Use separate schedule(s	(check onl	NUMBER: PAGE 30 / 33
TEMIZED DISBURSEMENTS	for each category of the Detailed Summary Page	21b 27	22 X 23 24 25 28a 28b 28c 29
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NAME OF COMMITTEE (In Full) Securities Industry and Financial Mark	ets Association Political Ac	tion Committee)
Full Name (Last, First, Middle Initial)			T .: ID 47000700
Dave Camp For Congress 2010			Transaction ID: 17828768 Date of Disbursement
Mailing Address 5915 Eastman Aven Suite 100	ue		12
City Midland	State Zip Code MI 48640		Amount of Each Disbursement this Perio
Purpose of Disbursement Contribution		011	2500.00
Candidate Name Rep. David Camp		Category/ Type	
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State: MI District: 04			
Full Name (Last, First, Middle Initial) Cantor For Congress			Transaction ID: 17828769 Date of Disbursement
Mailing Address P. O. Box 17813			12
City Richmond	State Zip Code VA 23226		Amount of Each Disbursement this Period
Purpose of Disbursement Contribution		011	2500.00
Candidate Name Rep. Eric I. Cantor		Category/ Type	
Office Sought: X House Dist Senate President State: VA District: 07	oursement For: 2010 X Primary General Other (specify) ▼		Contribution
Full Name (Last, First, Middle Initial) Kevin Mccarthy For Congress			Transaction ID: 17828770 Date of Disbursement
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City Bakersfield	State Zip Code CA 93389		Amount of Each Disbursement this Period
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Candidate Name Rep. Kevin McCarthy		Category/ Type	
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	SCHEDULE B (FEC Form 3X)	Use separate schedule(LINE NUMBER: PAGE 31 / 33										
	ITEMIZED DISBURSEMENTS	for each category of the	<i>'</i>			_	 .					_	1
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	Any Information copied from such Reports and Stateme	ente mou not be cold or us	ad by							انعفصا		Щ	300
	or for commercial purposes, other than using the name											,	
	NAME OF COMMITTEE (In Full)												
	Securities Industry and Financial Markets A	Association Political Ac	tion	Comm	ittee								
_	Full Name (Last, First, Middle Initial)					Trans	actio	n ID:	17828	 771			
A.	Devin Nunes Campaign Committee					Date o	of Disl	burse	ement				
	Mailing Address PO Box 6545					1 ^M 2	M /	^D 2	D / Y	ž	0 ŏ 9) ^Y	
		State Zip Code CA 93290				Amou	nt of E	Each	Disburse	men	t this F	eric ²	od
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	Candidate Name Rep. Devin G. Nunes		\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	ategory Type	/								
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	State: CA District: 21												
В.	Full Name (Last, First, Middle Initial) Friends Of Erik Paulsen		Transaction ID: 17828772 Date of Disbursement										
	Mailing Address P.O. Box 44369 250 Prairie Center Drive					1 ^M 2	M /	^D 2	^D / Y	ž	0 ŏ 9) ^Y	
	7	State Zip Code MN 55344				Amou	nt of E	Each	Disburse	-			od
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SUBTOTAL of Disbursements This Page (optional)	•	2500.00
TOTAL This Period (last page this line number only)	•	63500.00

State: MN

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В.

C.

SCHEDULE B (FEC Form 3X)	Use sepa			OR LIN	E NUMBER: PAGE 32/33									
ITEMIZED DISBURSEMENTS	for each of Detailed		X 21b 27			22 28a		23 28b	24 28c		25 29	26	6 0b	
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NAME OF COMMITTEE (In Full)														
Securities Industry and Financial Markets	Associatio	n Political Acti	on C	Сс	mmitte	ee								
Full Name (Last, First, Middle Initial) PNC Bank									on ID:	17801	984	ļ		
Mailing Address 1400 K Street, NW								М		7 /	(<u>2</u>	o ŏ s) Y	
City Washington	State DC	Zip Code 20005					Amou	nt o	f Each	Disburse	emen	t this f	Period	_
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Office Sought: House Disburse Senate President State: District:	ment For: Primary Other (spe	General ecify) ▼				Bank Fees								
Full Name (Last, First, Middle Initial) PNC Bank									on ID:	17801 ement	986	3		_
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City Washington	State DC	Zip Code 20005					Amou	nt o	f Each	Disburse	emer	t this f	Period	_
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PNC Bank							Date o		isburs				V	
Mailing Address 1400 K Street, NW							1 [™] 2	IM	′	2 /	2	o ŏ s)	
City Washington	State DC	Zip Code 20005					Amou	nt o	f Each	Disburse	-			1
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Office Sought: House Disburse Senate President	ment For: Primary Other (spe	General ▼					Bank	Fee	es					
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Senate

District:

President

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SCHEDULE B (FEC Form 3X)	Use separate schedule(s)			R LINE	E NUMBER: PAGE 33 / 33							
TEMIZED DISBURSEMENTS	for each categor Detailed Summa		X	21b [27	22 28a	23 28	L	2/	4 8c	25 29		26 30b
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NAME OF COMMITTEE (In Full) Securities Industry and Financial Markets	Association Polit	ical Action	Com	mittee)							
Full Name (Last, First, Middle Initial) PNC Bank Mailing Address 1400 K Street, NW					Date	action of Disb		ment		0 Ž 0 Ŏ 9	Y	
City Washington	State Zip C DC 2000				Amou	nt of E	ach	Disbu	rseme	nt this F	Perio	od
Purpose of Disbursement Bank Fees Candidate Name			001 Catego Type	•		•		0	•	99.74		
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	ement For:	Conoral	7150		Bank	Fees						

General

SUBTOTAL of Disbursements This Page (optional)	•	222.63
TOTAL This Period (last page this line number only)	•	284.64

Primary

Other (specify)

State: